

Project Code	Project Title	Project Applicant	Project Status	Funding Amount Approved	Actual Outturn to Date	Actual Expenditure to Date	2020/21			Progress to Date	Key Risks	Internal RAG Rating
							Agreed Annual Profile (Expenditure)	Actual in Year	Year End Forecast			
GD001	Optimising Connectivity	Cumbria County Council	Financially Complete - Output Monitoring	£ 2,000,000	£ 2,000,000	£ 2,000,000	£ -	£ -	£ -	Project successfully delivered. Applicant appointed Amion to undertake output modelling and benefits realisation exercise, and this report has now been received. The outputs reported are reflected within the Q2 20/21 Dashboard.	Outputs were not realised to the extent forecast, but the evaluation was positive overall.	G
GD002	Barrow Waterfront	Cumbria County Council	Financially Complete - Output Monitoring	£ 7,178,470	£ 7,178,470	£ 7,178,470	£ -	£ -	£ -	Capital works successfully delivered. ERDF funded business centre under construction. Work underway to advertise remaining plots.	Key risk lies in delivering jobs outputs.	A
GD007	Durranhill	Carlisle City Council	Financially Complete - Output Monitoring	£ 2,000,000	£ 2,000,000	£ 2,000,000	£ -	£ -	£ -	Project complete and monitoring phase underway.	No key risks - good progress towards outputs.	G
GD004	Barrow Advanced Manufacturing Centre	Furness College	Financially Complete - Output Monitoring	£ 1,126,000	£ 1,126,000	£ 1,126,000	£ -	£ -	£ -	Capital works complete and student intake commenced. Output monitoring underway.	Concerns regarding methodology of outputs raised during monitoring and in mid-term evaluation of LGF. This has been addressed with the reduction of the job outputs and was reflected on the Q2 20/21 Dashboard.	G
GD014	Advanced Manufacturing Centre	Carlisle College	Financially Complete - Output Monitoring	£ 900,000	£ 900,000	£ 900,000	£ -	£ -	£ -	Capital works complete and student intake commenced. Output monitoring underway.	N/A	G
GD018	Business Flood Recovery Works	James Cropper Plc	Closed	£ 1,000,000	£ 1,000,000	£ 1,000,000	£ -	£ -	£ -	Project complete and outputs reported.	N/A	G
GD019	Business Flood Recovery Works	United Biscuits (UK) Ltd	Closed	£ 1,000,000	£ 1,000,000	£ 1,000,000	£ -	£ -	£ -	Project complete and outputs reported.	N/A	G
GD020	Carlisle Airport	Stobart Air	Financially Complete - Output Monitoring	£ 4,950,000	£ 4,950,000	£ 4,950,000	£ -	£ -	£ -	Project complete and monitoring activity commenced.	It is expected that COVID-19 will have a significant impact upon outputs. Receipt of annual monitoring report delayed due to COVID-19. Change of name of applicant will require updating of grant agreement.	A
GD026	Expansion of Business Premises	Support-in-Sport (Manufacturing) Ltd	Financially Complete - Output Monitoring	£ 274,305	£ 274,305	£ 274,305	£ -	£ -	£ -	Project complete and monitoring activity commenced.	Receipt of annual monitoring report delayed due to COVID-19.	AG
GD025	Project Ark	James Walker & Co Ltd	Financially Complete - Output Monitoring	£ 2,490,101	£ 2,490,101	£ 2,490,101	£ -	£ -	£ -	Project complete and monitoring activity commenced.	Annual monitoring report received, with outputs reported in Q3. However, this does not yet take into account the impact of Covid-19, with the information relating to the FY 19/20.	AG
GD008	Devolved Majors	Cumbria County Council	Financially Complete - Output Monitoring	£ 7,465,940	£ 7,465,940	£ 7,465,940	£ -	£ -	£ -	Project complete and monitoring activity commenced.	No formal outputs so risks are minimal. Key will be to ensure appropriate evaluation is carried out.	G
GD003	Kendal Transport Infrastructure	Cumbria County Council	Financially Complete - Output Monitoring	£ 2,300,000	£ 2,300,000	£ 2,300,000	£ -	£ -	£ -	Project complete and monitoring activity commenced.	Key risk lies in ongoing achievement of outputs.	AG
GD013	Cumbria Skills and Capital Programme	Cumbria LEP / Cumbria County Council	Financially Complete - Output Monitoring	£ 2,000,000	£ 2,000,000	£ 2,000,000	£ 72,175	£ 72,175	£ 72,175	Programme complete and monitoring activity underway. Minor change control to note VAT impact upon SC005 and SC006 required.	No key risks - good progress towards outputs.	G
Complete Projects				£ 34,684,816	£ 34,684,816	£ 34,684,816	£ 72,175	£ 72,175	£ 72,175			
GD005	South Ulverston Infrastructure Improvements	Cumbria County Council	Live	£ 4,403,061	£ 4,355,244	£ 4,355,244	£ 159,000	£ 111,183.48	£ 159,000	Final works underway at Daltongate Business Park, expected to complete in Q4 20/21. Slight underspend forecast.	Key risk lies in ongoing reporting of outputs, with issue regarding the double counting of housing units identified. This is being addressed as part of the benefits realisation plan being developed for the Cross a Moor scheme.	AG
GD011	Connecting Cumbria	Cumbria County Council	Live	£ 3,600,000	£ 3,529,103	£ 3,529,103	£ 174,205	£ 103,308	£ 174,205	Project has exceeded original GD outputs and a change control was approved to extend beyond 19/20 to achieve additional outputs. Final claim expected in Q4 20/21.	N/A	G
GD015	Port of Workington - Road Access	Network Rail	Live	£ 2,000,000	£ 2,000,000	£ 1,664,801	£ 2,000,000	£ 1,664,801	£ 2,000,000	Network Rail were unable to successfully complete land assembly element of the project which provided a high risk to deliverability. An alternative solution has now been identified by the delivery partner. This is now progressing, and a change control outlining these changes was taken to the IP in September 2020 and approved. A revised contract is currently being drafted by CCC to reflect this. Weekly updates are being provided. Whilst the scheme end date has slipped to June 2021, Growth Deal funding will be fully utilised by March 21, and a statement of expenditure has been provided in December 2020 evidencing £1.664m to date.	A variation to the contract to reflect these changes is underway. A statement of expenditure has been provided demonstrating £1.664m of the £2m spent to December 2020.	A
GD028	Manufacturing and Premises Purchase	Clark Door	Live	£ 569,000	£ 539,148	£ 539,148	£ 85,350	£ 55,498	£ 85,350	Project nearing physical completion. Compliance monitoring undertaken and final claim awaited.	Applicant has identified potential impact upon outputs due to COVID-19.	G

GD029	M-Sport Evaluation Centre (MEC)	M-Sport Ltd	Live	£ 1,007,900	£ 907,110	£ 907,110	£ 302,563	£ 201,773	£ 302,563	Project underway and delivering to project plan as of end of Q4 19/20. However, applicant identified reduction in scope of project due to impact of COVID-19. The overall scheme was reduced from £14.7m to £12.6m. This was approved via a change control by the IP in November which included details and an updated state aid opinion. This has resulted in a reduction in grant of £292,100 from £1.3m to £1,007,900.	Reduction in scope of project and subsequently grant now approved. Delay in achievement of outputs.	A
GD012	Growing Our Potential	Cumbria LEP	Live	£ 1,683,008	£ 874,487	£ 874,487	£ 1,683,008	£ 874,487	£ 1,683,008	Reduced from £2m to £1m at IP on 18/03/20 and Board on 20/03/20, with ability to increase if Growth Deal funds are available. Latest agreement is £1.7m. Current commitment is £1.683m, with c£1.513m contracted.	Key risk lies in ongoing reporting of outputs on an individual project basis and the impact of COVID-19, the extent of which cannot yet be known. £0.17m remains in contracting with outstanding conditions.	AG
GD027	Cumbria Coastal Rail	Cumbria County Council	Live	£ 835,000	£ 835,000	£ 835,000	£ 835,000	£ 835,000	£ 835,000	Project now contracted and payment has been made in line with contract. Progress report received in Q2 20/21 demonstrating full defrayal of LEP expenditure.	Leverage will be monitored through annual audits and submission of progress reports. Potential to accelerate scheme with production of OBC lite, but at this stage minimal impact upon CLEP grant is expected.	G
GD034	Dressed to Impress	Tullie House Museum & Art Gallery	Live	£ 215,100	£ 166,080	£ 166,080	£ 97,283	£ 48,263	£ 97,283	Impact of COVID-19 has led to delays, but work now progressing and a change control has been approved identifying a new programme for delivery with project completion / opening in December 2020. The applicant has provided an update in Q3 20/21 that due to ongoing covid restrictions, opening will be delayed until the New Year. Grant Amendment issued.	Applicant has identified potential impact upon outputs due to COVID-19.	AG
GD030(A)	Optimising Connectivity 2: Keswick to Threlkeld	Lake District National Park Authority	Live	£ 300,000	£ 255,000	£ 255,000	£ 300,000	£ 255,000	£ 300,000	Project has practically completed and official launch event has taken place. Final claim is expected in January 2021	Clearance of special conditions to be resolved prior to retention payment. Overspend has been identified and has been met by the applicant. Discussions with applicant in December 2020 shows good progress towards special conditions and positive approach to contracted outputs in the long term.	AG
GD031	Carlisle Citadels	Carlisle City Council	Live	£ 1,782,000	£ 750,000	£ -	£ 1,782,000	£ -	£ 1,782,000	Initial payment was made in line with contract. However, vendor has been unable to meet obligations of HoTs and exchange of contracts has not yet taken place. Tendering for demolition has been twin tracked to mitigate risk where possible. The delay in the purchase of the site has now resulted in a completion date of 09 March being identified. This is a key risk which would require an upfront payment of Growth Deal to allow the demolition to occur in 2021-22. CLEP has worked with the applicant to progress alternatives, which have included enforcing HoTs to complete as well as additional options in relation to project aims. Regular progress meetings have been in place. Preferred option approved via change control at the IP on 10/11/2020, and a revised Funding Agreement is required.	Delay in purchase of site, requiring upfront payment of Growth Deal to allow the demolition to occur in 2021-22. Further minor slippage has been identified by the applicant since the approval of the change control and regular progress meetings are in place. Revised Funding Agreement not yet in place.	AR
SC009	Kendal College HEAT	Kendal College	Live	£ 75,000	£ 63,750	£ 63,750.00	£ 75,000	£ 63,750	£ 75,000	Project nearing completion and first claim has been made.	N/A	G
GD043	Whitehaven High Street Fund	Copeland Borough Council	Live	£ 1,056,649	£ 556,129	£ 556,129	£ 1,056,649	£ 556,129	£ 1,056,649	Significant slippage was identified throughout Q2 and Q3 20/21, with 3 of the 5 properties now purchased to date. Special conditions now cleared. Withdrawal of FHSF bid means that remaining properties unlikely to be purchased and a change control may be required - applicant working with CLEP and it is expected a change control will be taken to the IP in January or February.	Key risk is the withdrawal of the FHSF bid, with 2 of the 5 properties not yet acquired. Alternative options are being explored as a contingency, but may result in an underpend. Confidence would be required that the original aims stand. Monthly progress reporting was implemented due to slippage, and the regularity of reporting has now increased further.	AR
SC008	Heavy Civils	Lakes College	Live	£ 900,000	£ -	£ -	£ 900,000	£ -	£ 900,000	Change control approved outlining progress in conditions and changes required to delivery since original approval, and a contract is now in place. There have been some small delays, but the project is still on target overall. Planning permission was secured in October 2020. Land acquisition and confirmation of additional funding for enhanced scheme were received in November 2020. Submission of claim is expected following clearance of planning conditions, expected in January 2021.	Awaiting clearance of relevant planning conditions in order for first claim to be submitted.	AG
GD035	Sewells Lanning	Cumbria County Council	Live	£ 437,349	£ -	£ -	£ 437,349	£ -	£ 437,349	Change control approved reflecting change in applicant from Carlisle City Council to Cumbria County Council due to deliverability practicalities and MoU now in place. Awaiting clearance of final special condition relating to collaboration agreement between partners. First claim received and second claim due in January 2021.	N/A	AG

GD030(B)	Optimising Connectivity 2: Southern Windermere	Lake District National Park Authority	Live	£ 444,694	£ -	£ -	£ 444,694	£ -	£ 444,694	Approved at IP on 18/03/2020. Due to the impact of COVID, it was identified that much of the Programme will slip into post March 21. An upfront grant payment approach was therefore approved by the Investment Panel in July, and this approach was reflected on the Q1 LGF Data Return. Change control approved to revise what had been approved as pre payment conditions to delivery conditions to align with this decision. Contract sealed in October 20/21. Progress meeting scheduled with applicant on 22/01/2021.	Clearance of pre-payment conditions expected in January 2021. Ongoing monitoring to ensure completion of project post March 21.	AR
GD039	Kendal Business Hub	South Lakeland District Council	Live	£ 439,522	£ 439,522	£ 439,522.00	£ 439,522	£ 439,522	£ 439,522	Contract has been sealed, pre-conditions have been cleared and works have commenced. Payment is expected to be made in December 2020 and claims will be made evidencing expenditure following this. Inception meeting scheduled with applicant for 14 January 2021.	Some delivery will take place post March 21 due to the impact of COVID-19, but payment at 100% with follow on expenditure recorded through claims was thought to ensure delivery of Growth Deal funding prior to March 21. Spend profile provided by applicant showed a high risk of slippage, and change control therefore approved for an advance payment to be made on the basis of enhanced monitoring and reporting, and the inclusion of additional condition relating to evidencing the commencement of works.	AG
GD036	Acquisition of Leconfield	Copeland Borough Council	Live	£ 1,140,000	£ -	£ -	£ 1,140,000	£ -	£ 1,140,000	Approved by IP on 09/09/2020, and prior to Funding Agreement conditions have been cleared, and the contract has been issued to the applicant. Applicant was expecting to exchange contracts on 14/11/2020 with completion on the 30/11/2020. This slipped, with a completion date of 18/12/2020 identified. However, further legal issues were identified and completion is now expected in January 2021.	Heads of Terms for purchase agreed, but required clearance of a pre-payment condition relating to Town Deal submission. Legal issues identified within purchase by applicant which has led to delay, but latest update suggested a completion date of 12 January 2021. Confirmation of purchase has not yet been received. Some strategic risks due to nature of project.	A
GD037	Low Carbon Barrow	Barrow Borough Council	Live	£ 750,000	£ 750,000	£ 750,000.00	£ 750,000	£ 750,000	£ 750,000	Approved at IP on 14/07/2020 on the basis of an upfront grant payment approach to allow delivery in 21/22. This approach was reflected on the Q1 LGF Data Return. Change control approved to align conditions with the realities of delivery. Contract now in place, pre-conditions cleared and payment made.	Upfront payment made requiring ongoing monitoring.	AG
GD033	Grizebeck	Cumbria County Council	Live	£ 2,244,000	£ 2,244,000	£ 2,244,000.00	£ 2,244,000	£ 2,244,000	£ 2,244,000	FBC received 25/04/2020 and was approved at the IP in November following a funding announcement in late October. As much of the scheme will slip into post March 21, an upfront grant payment has been approved, and this was made following the signing of the MoU in December 2020.	Project not fully deliverable within current Growth Deal timescales. Funding Agreement to reflect upfront payment and utilisation of the CIF reserved for Growth Deal over-programming, with ongoing monitoring taking place.	AG
Live Projects				£ 23,882,283	£ 18,264,573	£ 17,179,374	£ 14,905,623	£ 8,202,714	£ 14,905,623			
GD044	Lillyhall North Revised	Allerdale Borough Council	In contracting	£ 1,789,761	£ -	£ -	£ 1,789,761	£ -	£ 1,789,761	Contract has been issued to applicant. However, applicant informed CLEP in November that planning had slipped by 3 months - from November 2020 to February 2021. Significant impact upon timescales of project which now means that much of delivery will take place post March 21. Although an upfront payment was already to be utilised, a change control reflecting the new milestones is to be taken to the IP in January 2021, and a Funding Agreement will have to be re-issued.	Up-front payment mechanism has been utilised to mitigate risks with the end of the programme. The inclusion of pre-payment conditions mitigate risks associated with this. Slippage now means delivery will occur outside of Growth Deal timescales. A new Funding Agreement is required, and close monitoring will be undertaken.	R
GD047	Cross-A-Moor	South Lakeland District Council	In contracting	£ 925,000	£ -	£ -	£ 925,000	£ -	£ 925,000	Approved by IP on 09/09/2020. Ratification of underwriting of funding gap received in early November in order to progress Funding Agreement. Various project groups set up to manage deliver of scheme including relevant representation from CCC, SLDC, HE and CLEP.	Ratification of underwriting now received. Project currently in contracting, with one prior to funding agreement condition remaining. Expected that Funding Agreement will be issued in January 2021.	A
Contracting Underway				£ 2,714,761	£ -	£ -	£ 2,714,761	£ -	£ 2,714,761			
Unallocated				£ 961,800			£ -	£ -	£ -			
TOTALS				£ 61,281,860	£ 52,949,389	£ 51,864,190	£ 17,692,559	£ 8,274,889	£ 17,692,559			

Growth Deal Allocation	£	60,320,060
Total Value Complete Projects	£	34,684,816
Total Value Live Projects	£	23,882,283
Total GD Funding Delivered to Date	£	51,864,190
Total Value Projects in Contracting	£	2,714,761
Total Value Projects at Full Business Case	£	-
Total Value at All Stages	£	61,281,860
Total Unallocated	£	961,800